



Office of Campaign Finance

OCF (CJ)

MISSION

The Mission of the Office of Campaign Finance (OCF) is to regulate the conduct of public officials and political campaigns to ensure public trust in the integrity of the election process and government service.

SUMMARY OF SERVICES

The Office of Campaign Finance is responsible for processing documents required by law to be filed with the Agency; conducting desk and field audits; developing and disseminating to the public reports and summaries compiled from the financial reports of the filing entities; conducting investigations and hearings of alleged violations of the Campaign Finance Act; drafting proposed legislation and regulations; and rendering interpretative opinions concerning the application of the Act.

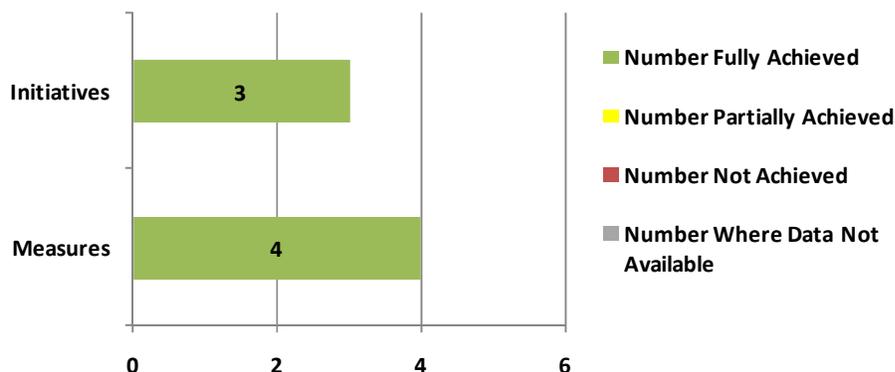
AGENCY OBJECTIVES

1. Obtain full and complete disclosure of documents and actions relevant to the Campaign Finance Act through efficient and effective educational, audit, and enforcement processes.
2. Assimilate, maintain, and compile financial disclosure records received through electronic filing and by hard copy into an integrated relational database.
3. Disseminate financial disclosure records and statistical reports developed from the above database for public inspection and publication in the D.C. Register.

3 KEY ACCOMPLISHMENTS

- ✓ Hired a Staff Auditor in the Records Analysis and Audit Division and a Hearing Examiner in the Office of the General Counsel which effectively enabled the Office of Campaign Finance to reduce the time for the processing of the Desk Audits of Financial Reports and the conduct of enforcement hearings by 25%.
- ✓ Modified the OCF Web Site to develop the capacity for the submission of the customer survey online; benchmarked the OCF Biennial Report for easy access; and revised the OCF Registration Forms to request the email address of reporting entities and expedite the dissemination of information.
- ✓ Coordinated the 100% response by 59 Agency heads of the submission of the lists of the names of public officials who were potential Financial Disclosure Filers for the May 15th Filing Deadline.

OVERVIEW OF AGENCY PERFORMANCE





Performance Initiatives – Assessment Details

Performance Assessment Key:

 Fully achieved  Partially achieved  Not achieved  Data not reported

OBJECTIVE 1: Obtain full and complete disclosure of documents and actions relevant to the campaign finance act through efficient and effective educational, audit, and enforcement processes.

-  **INITIATIVE 1.1: Review financial disclosure reports and conduct random periodic audits.**
Fully Achieved. OCF reviewed the Financial Disclosure Statements of 2848 Public Officials and identified 184 Statements where financial relationships may present a conflict of interest with public office. Referred to the Office of the General Counsel for the issuance of a cautionary letter. OCF conducted 1050 Desk Reviews of the Financial Reports of political committees, lobbyists, constituent service programs, and statehood funds, before the next filing deadline of all affected reporting entities, and issued 150 Requests for additional information. OCF conducted periodic random audits of the Constituent Services Program (3), Lobbyists (2), Political Action Committees (1), and Principal Campaign Committees (1), which determined that the reporting entities were in compliance with the reporting and recordkeeping requirements of the Campaign Finance Act. OCF conducted 293 informal hearings in FY08, compared to 442 in FY07, to pursue the enforcement of reporting deficiencies, before the next filing deadline of the affected parties.

OBJECTIVE 2: Assimilate, maintain, and compile financial disclosure records received through electronic filing and by hard copy into an integrated relational database.

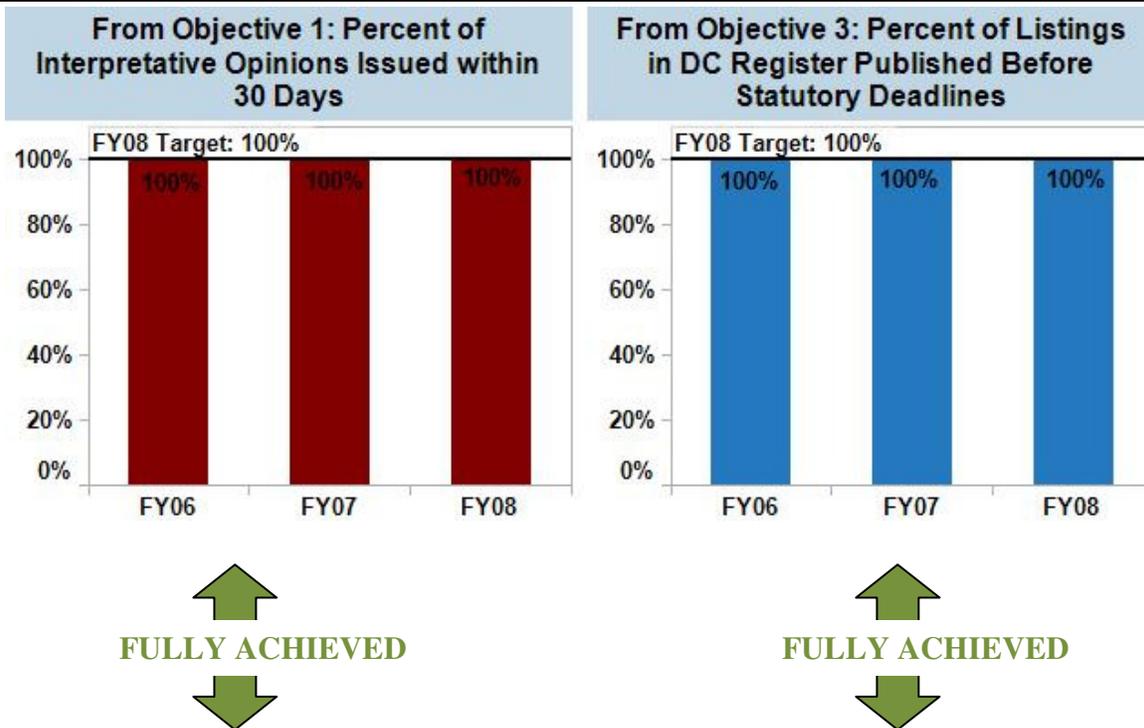
-  **INITIATIVE 2.1: Upgrade the electronic filing system.**
Fully Achieved. OCF engaged in FY08 the services of a technology firm to provide maintenance and remedial support for the Electronic Filing and Disclosure System, hosting of the online tutorials, and the development of enhancements for the EFS, including the benchmarking of the Biennial Report, the ability to submit the OCF customer survey online, and the revision of the electronic filing forms to request the email address of the filer.

OBJECTIVE 3: Disseminate financial disclosure records and statistical reports developed from the above database for public inspection and publication in the D.C. Register.

-  **INITIATIVE 3.1: Disclosure of financial reports.**
Fully Achieved. OCF published in the D.C. Register listings of the names of those public officials required to file financial disclosure statements on April 25, 2008 (55 DCR 4610); and the names of those public officials who filed, requested an extension, and failed to file on June 13, 2008 (55 DCR 6500). OCF published in the D.C. Register listings of the lobbyists registered in the District of Columbia and their activity in the D.C. Register on February 15, 2008 (55 DCR 1581) and August 15, 2008 (55 DCR 8920). OCF published at the OCF Web Site in FY08 following the close of each filing deadline statistical reports and summary information of contribution and expenditure activity of the candidates and political committees registered with OCF, and the images of 3750 financial reports filed during FY08.



Key Performance Indicators – Highlights



More About These Indicators:

How did the agency's actions affect this indicator?

- Hired an additional auditor to review financial reports.
- Conducted educational seminars on the reporting requirements, which reduced the number of reporting irregularities.
- Hired an additional hearing examiner to conduct informal hearings.
- Conducted informal hearings on reporting deficiencies to deter future misconduct.

What external factors influenced this indicator?

- The February 12, 2008 Presidential Preference Election and the September 8, 2008 Primary Election increased the number of reporting dates to 19 and there were 266 new candidates and 40 new political committees who registered to participate in these elections.

How did the agency's actions affect this indicator?

- OCF scanned the originals of 2493 financial reports onto the Imaging System, and the images of 1257 financial reports electronically filed were received online. Because of the increased efforts of the agency to educate filers about the EFS, manual scanning was reduced by 34%.
- OCF compiled the information from the OCF Database to produce the listings for publication in the D.C. Register and on the Web Site.

What external factors influenced this indicator?

- OCF increased its efforts to educate its customers concerning the benefits of electronic filing, which reduced the manual scanning effort by 34%.



Key Performance Indicators – Details

Performance Assessment Key:

● Fully achieved
 ● Partially achieved
 ● Not achieved
 ● Data not reported

	FY06 Actual	FY07 Actual	FY08 Target	FY08 Actual	FY09 Projection
OBJECTIVE 1: Obtain full and complete disclosure of documents and actions relevant to the campaign finance act through efficient and effective educational, audit, and enforcement processes.					
● Percent of Interpretative Opinions issued within 30 days.	100%	100%	100%	100%	100%
● Percent of informal hearings and investigative matters conducted before the next filing deadline, and within 90 days of the filing of a complaint	100%	100%	100%	100%	100%
● Percent of financial reports reviewed, evaluated, and analyzed before the next filing deadline.	100%	100%	100%	100%	100%
OBJECTIVE 2: Assimilate, maintain, and compile financial disclosure records received through electronic filing and by hard copy into an integrated relational database.					
<i>None applicable to this objective for FY08.</i>					
OBJECTIVE 3: Disseminate financial disclosure records and statistical reports developed from the above database for public inspection and publication in the D.C. Register.					
● Percent of listings in the D.C. Register published before the statutory deadlines of January 15 th , April 30 th , June 15 th , and August 15 th of each year. . .	100%	100%	100%	100%	100%